



EV486242634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.09/266,207
Filing DateMar 10, 1999
Confirmation No.5470
Inventorship England
Applicant Microsoft Corporation
Group Art Unit2135
Examiner KLIMACH, PAULA W
Attorney's Docket No.MS1-282USC6
Title: System and Method for Authenticating an Operating System to a Central
Processing Unit Providing the CPUOS With Secure Storage and
Authenticating the CPUOS to a Third Party

INFORMATION DISCLOSURE STATEMENT


References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: Oct 19, 2004

By: 
Frederick M. Fliegel
Reg. No. 36,138

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/266,207
		Filing Date	Mar 10, 1999
		First Named Inventor	England
		Group Art Unit	2135
		Examiner Name	KLIMACH, PAULA W
		Attorney Docket Number	MS1-282USC6
Sheet	1	of	1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Microsoft Press Computer Dictionary, Third Edition, published by Microsoft Press, Copyright 1997, pages 27,34, and 341.	

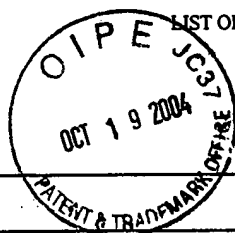
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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
MS1-282USC6SERIAL NO.
09/266,207LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)APPLICANT
Microsoft CorporationFILING DATE
03/10/99

GROUP

U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A					
	B					
	C					
	D					
	E					
	F					
	G					
	H					
	I					
	J					
	K					

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

E	Arbaugh et al., "A Secure and Reliable Bootstrap Architecture", Distributed Systems Laboratory, Philadelphia, PA, 1997, pp. 65-71
F	Lampson et al., "Authentication in Distributed Systems: Theory and Practice", Digital Equipment Corporation, ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, PP 265-310
G	Clark et al., "Bits: A Smartcard Protected Operating System", Communications of the ACM, Vol. 37, No. 11, November 1994, pp. 66-70, 94
H	Yee, "Using Secure Coprocessors", School of Computer Science, Carnegie Mellon University, 1994, 104 pages
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EXAMINER

DATE CONSIDERED

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09/266,207

Mar 10, 1999

England

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KLIMACH, PAULA W

MS1-282USC6

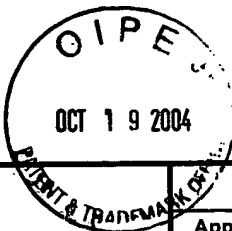
U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,023,907		Johnson et al.	06-11-1991	
		5,335,334		Takahashi et al.	08-02-1994	
		5,491,827		Holtey	02-13-1996	
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		6,105,137		Graunke et al.	08-15-2000	
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[illegible]Date
Considered

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/266,207
		Filing Date	Mar 10, 1999
		First Named Inventor	England
		Group Art Unit	2135
		Examiner Name	KLIMACH, PAULA W
		Attorney Docket Number	MS1-282USC6
Sheet	2	of	4

NON PATENT LITERATURE DOCUMENTS			
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		Arbaugh, et al., "A Secure and Reliable Bootstrap Architecture", Assumptions, pp. 1-7, December 1996.	
		McKenzie, "Seybold Report on Internet Publishing, Vol. 1, No. 4, p. 6(9), December 1996.	
		M. Kuhn, "The TrustNo 1 Cryptoprocessor Concept", kuhn@cs.purdue.edu, pp. 1-6, April 1997.	
		"Phoenix Technologies Partners with Secure Computing in Enterprise Security Marketplace", Business Wife, Courtesy of Dialog Text Search, pp. 1-2, July 2001.	

Examiner Signature		Date Considered	
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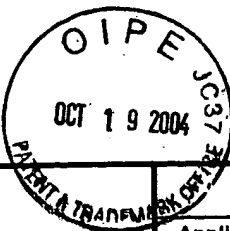
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/266,207
<i>(use as many sheets as necessary)</i>		Filing Date	Mar 10, 1999
		First Named Inventor	England
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Sheet	3	of	4

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		Number	Kind Code ² (if known)			
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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

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Mar 10, 1999

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KLIMACH, PAULA W

MS1-282USC6

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Application Number	09/266,207
Filing Date	March 11, 1999
First Named Inventor	England
Group Art Unit	2131
Examiner Name	Shah, Nilesh R.
Attorney Docket Number	MS1-282USC6

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Sheet

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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Examiner Name	Shah, Nilesh R.
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